

Quality Research Administration Meeting

August 29, 2018

Agenda

- ERA Update
- Graduate Student Compensation and Cost Share
- C&G Accounting Update
- HRP Update
- Clinical Trials Team Update
- Federal update

ERA Update

What is KR and why did we upgrade?

- Kuali is open source software that UCI has downloaded and run locally for Research and Financials
- Kuali products (Research, Financials, Student, Ready) are available to purchase as Software as a Service (SAAS) – ie. “hosted”
- Kuali Coeus (KC) was old technology and no longer supported
- We will be implementing more KR functionality and need to be running current code
- We participate in the Kuali community and product user groups to influence functionality

Story of the go-live...





The Story of the KR Go-Live

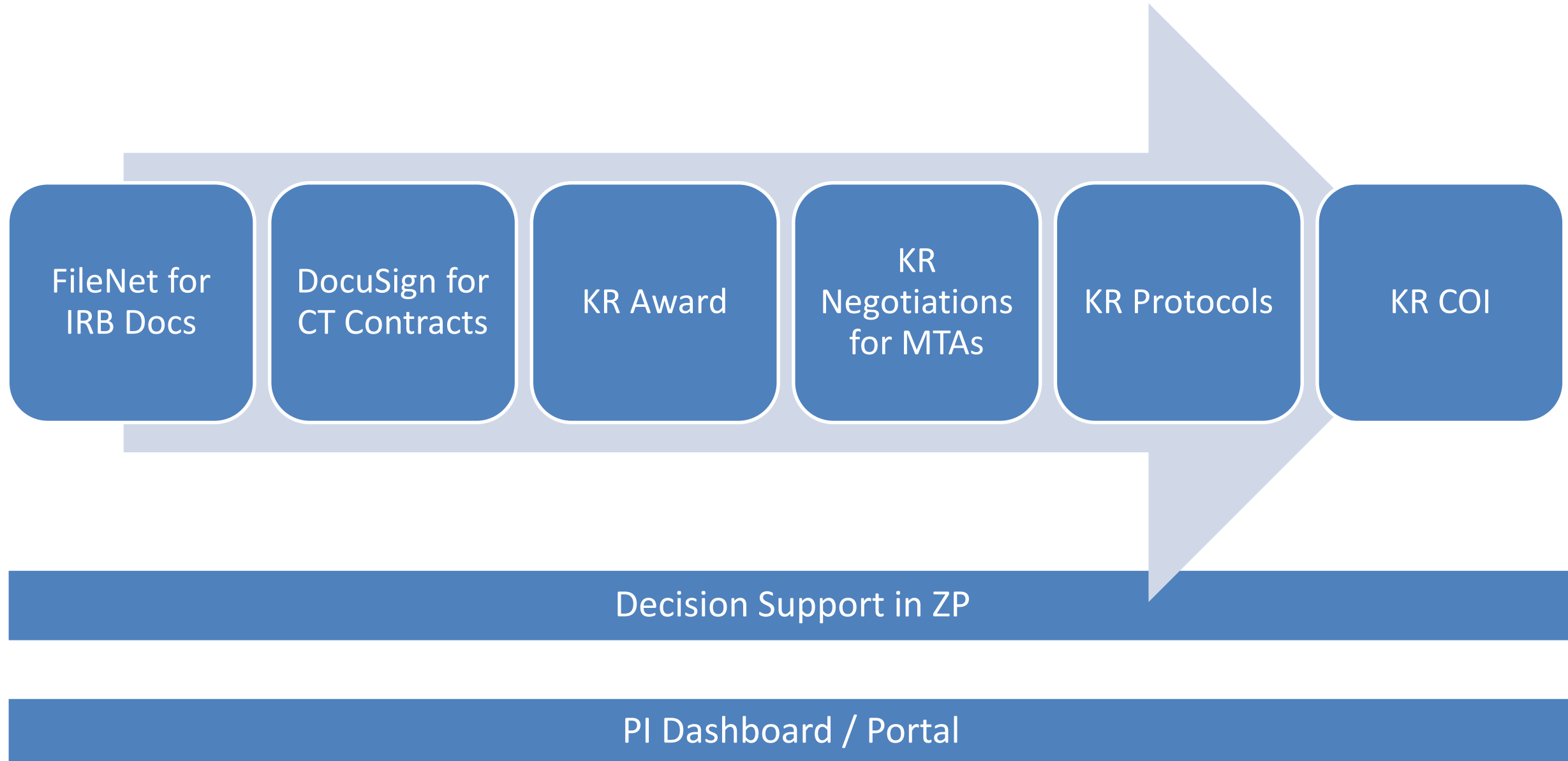
- Communicated, COMMUNICATED, and communicated
- Removed majority of code customizations
- Trained approximately 120 people over 12 hands-on sessions
- Planned and practiced the deployment plan
- ...then there was a problem
- AND, we still went live on July 10!



Some problems surfaced:

- Increased incident reports
 - Make sure to submit!
- Copy function
 - Reinstated, but is missing from inside the PD doc
 - ERA is working with Kuali
- System time-outs
 - ERA is speculating that errors are occurring due to system time-outs or running KR in many tabs
 - Working with OIT





Kuali Research Tips



***Subscribe to
the ERA
Listserv for
more KR tips
and tricks!***

Kuali Research – Tips

- Skip the Organization and Location section!

The screenshot shows the Kuali Research system interface. At the top, there is a navigation bar with options: Data Validation (off), Print, Copy, Medusa, Hierarchy, Budget Versions, Link, and Help. The main content area is titled 'Organizations & Locations'. Below this title, there are four tabs: Applicant Organization, Performing Organization, Performance Site Locations (which is selected and highlighted with a blue border), and Other Organizations. Under the 'Performance Site Locations' tab, there is a button labeled 'Add Performance Site' with a red X over it. On the left side, there is a sidebar menu with the following items: Basics (selected), Proposal Details, Delivery Info, Sponsor & Program Information, Organization and Location (marked with a red X), Cayuse424 Link, Key Personnel, Compliance, and Attachments. A callout bubble from a cartoon character named barbERA says 'Do not complete! You can skip this part!'.

Kuali Research – Tips

- Ignore system-to-system validation warning message about special characters in upload filename.

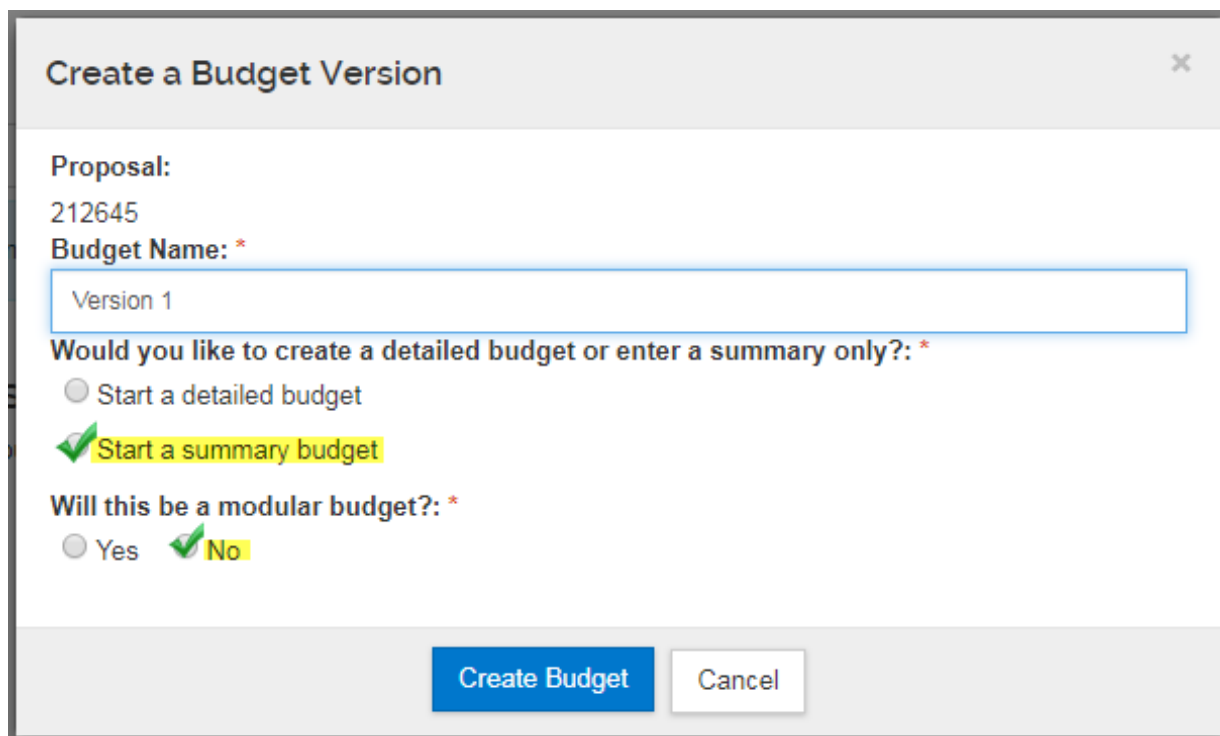
Dismiss this warning banner by clicking on the X.

The screenshot shows the 'Submit' page in the Kuali Research system. At the top, there is a navigation bar with links for Data Validation (off), Print, Medusa, Hierarchy, Budget Versions, Link, and Help. On the left, a sidebar contains menu items: Basics, Key Personnel, Compliance (checked), Attachments, Questionnaire, Budget, Access, and Supplemental Information. The main content area is titled 'Submit' and features a yellow warning banner that says 'This page has 1 messages'. The message details a file name issue: 'The uploaded file < IACUC Drugs 2018-08-07T04_17_15.358Z (1).xlsx > contains special characters < > in the file name. Special characters should be avoided in any file name if this proposal is being submitted via Grants.gov.' Below the message is a progress bar with three stages: 'Saved' (completed), 'Routing', and 'Approved'. At the bottom, there are tabs for 'Proposal Summary' (selected), 'Personnel', 'Compliance', 'Attachments', 'Questionnaire', and 'Supplemental Info'. The 'Proposal Summary' tab shows a 'Title' field with the value 'af1'. A black circle highlights the 'X' icon in the top right corner of the warning banner.



Kuali Research – Tips

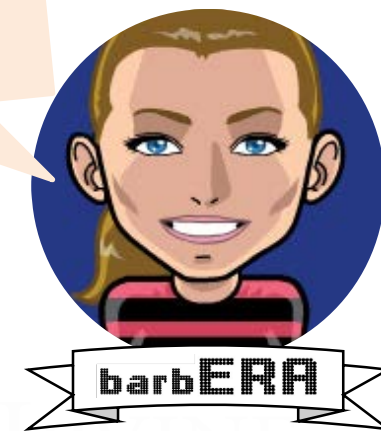
- *Always* create a “Summary Budget”
- *Always* select “No” for Modular Budget (if PHS/NIH sponsor)



The screenshot shows a web-based dialog box titled "Create a Budget Version". It contains the following fields and options:

- Proposal:** 212645
- Budget Name: ***: A text input field containing "Version 1".
- Would you like to create a detailed budget or enter a summary only?: ***: Two radio button options. The first is "Start a detailed budget". The second is "Start a summary budget", which is selected and highlighted with a green checkmark and a yellow background.
- Will this be a modular budget?: ***: Two radio button options. The first is "Yes". The second is "No", which is selected and highlighted with a green checkmark and a yellow background.
- At the bottom are two buttons: "Create Budget" (blue) and "Cancel" (white).

**DO NOT
create a
Detailed
Budget!**



Kuali Research – Tips

- Budget – enter only **Direct** and **F&A Costs***

Visit the KR Prop
Dev User Guide
for special
instructions for
Cost Share.

[Return to proposal](#)

Periods & Totals

Rates

Personnel Costs

Non-Personnel Costs

Subawards

Institutional Commitments

Project Income

Modular

Budget Notes

Budget Summary

Periods & Totals

Recalculate with changes

Reset to period defaults

Period Start Date *	Period End Date *	Months	Total Sponsor Cost	Direct Cost	F&A Cost	Unrecovered F&A	Cost Sharing	Cost Limit	Direct Cost Limit	Actions
07/01/2019	06/30/2020	12.0	0.00	150,000.00	5,400.00	0.00	10,000.00	0.00	0.00	
07/01/2020	06/30/2021	12.0	0.00	175,000.00	5,500.00	0.00	10,000.00	0.00	0.00	
07/01/2021	06/30/2022	12.0	0.00	190,000.00	5,700.00	0.00	10,000.00	0.00	0.00	
Total:			0.00	515,000.00	16,600.00	0.00	30,000.00	0.00	0.00	

*If there is **mandatory committed cost share**, also complete Cost Sharing line and Institutional Commitments sub-section.



Kuali Research – Tips

- Proposals with Subawards

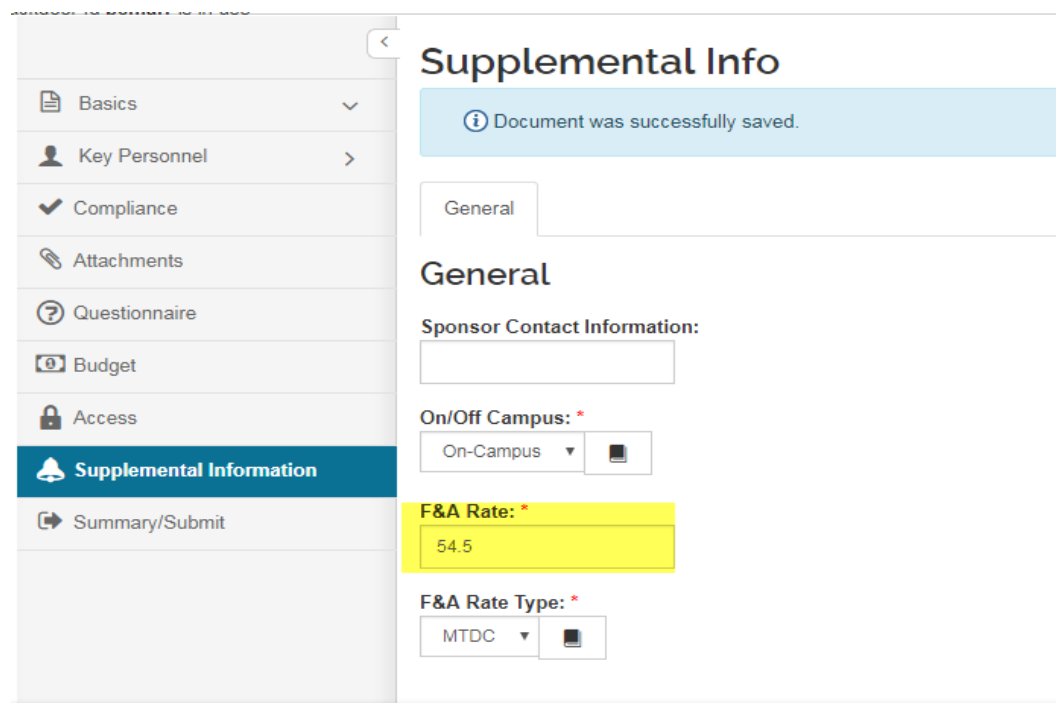
1. **Sponsor & Program Information** Mark the Subawards checkbox.
2. **Attachments** Attach all Subrecipient package docs to the Internal Attachments page
3. **Compliance** Add a Compliance Entry by selecting “Outgoing Subaward” (Pending) as the compliance type. Enter Subaward entity name or abbreviation in the Protocol Number field.

Do not enter
any subaward
info in the
Budget!

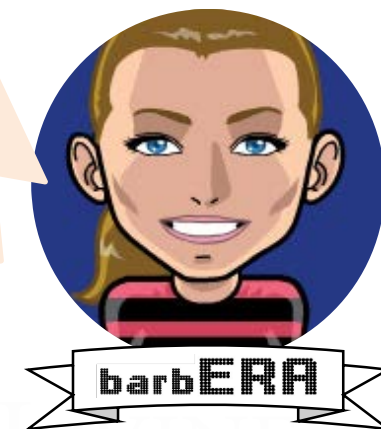


Kuali Research – Tips

- On the Supplemental Information section, for the F&A Rate, just enter the number – no need for % sign



Coming soon:
You'll be able to
enter more than
one F&A Rate *in
the very near
future!*



Kuali Research – Tips

- Ignore pessimistic lock without lock owner error message.

✓ Data Validation (on) Print Medusa Budget Versions Link Help ▾

Submit

❌ This document currently has a 212771-PROPOSAL DEVELOPMENT lock owned by as of 04:42 PM on 08/22/2018. X

Progress: Saved — Routing — Approved

Proposal Summary Personnel Compliance Attachments Questionnaire Supplemental Info

Proposal Summary

Title	af1
Principal Investigator	Alan Barbour
Lead Unit	IR-7121 - OFFICE OF RESEARCH ADMINISTRATION
Activity Type	Basic Research
Proposal Number	212771
Project Start Date	05/01/2018

Dismiss this error banner by clicking on the X.



Kuali Research – Tips

	Proposal Creator (KSAMS)	Admin Contact (KSAMS)	Aggregator (doc level permission only)	Principal Investigator
View Proposal	✓	✓	✓	✓
Edit Proposal	✓	✓	✓	✓
Submit Proposal to Workflow	✓	✓	✓	
Make Revisions	✓	✓	✓	✓
Return Proposal to workflow (after Revisions)	✓	✓	✓	

Contact your
DSA for KSAMS
assignments (or
contact the ERA
Team if you
don't know who
your DSA is.)



Kuali Research – Tips

- Access Negotiation Doc using Medusa

Proposal Development

Proposal: #212790
PI: *Daniel Whiteson*

Document Info
Doc Nbr: 2281993
S2S Connected: no
Initiator: yeunga
Status: Approved and Submitted
[more...](#)

✓ Data Validation (off) Print **Medusa** Budget Versions Link Help

Proposal Details
* indicates required fields

Proposal Type: New

Lead Medusa

Activity

Project D Proposal > Award Award > Proposal

Project Proposal > Award

Spon Institutional Proposal 05552201
Development Proposal 212790
Negotiation 4908

Prime Sponsor C

Close

The
Institutional
Proposal #
links
everything
together.



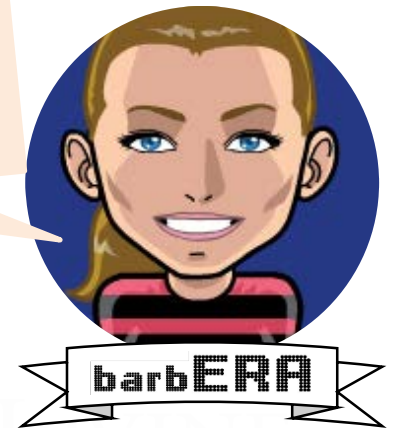
Your feedback is valuable to us!



Kuali Research – Tips

- Check out KR Training materials and ERA FAQ
 - research.uci.edu/sponsored-projects/era/
- Sign up for the ERA Listserv
 - Send a blank email to:
or-era-join@department-lists.uci.edu
- Questions?
 - era@research.uci.edu

*Subscribe to the
ERA Listserv to
stay up to date on
all our electronic
systems!*



KR Negotiation Module(s)

- Demo

Agenda

- ERA Update
- ***Graduate Student Compensation and Cost Share***
- C&G Accounting update
- HRP Update
- Clinical Trials Team Update
- Federal update

Graduate Student Compensation and Cost Share

Beata Najman

Director, Extramural Funds

Nancy Lewis

Executive Director, Sponsored Projects

<https://www.research.uci.edu/sponsored-projects/contracts-grants-admin/proposal-prep/stipends-salaries-wages.html>

<u>Stipends</u>	<u>Salaries and Wages</u>
-Financial assistance or support paid to university students; no work assigned.	-Compensation for performance of assigned work.
-No scope of work.	-Scope of work assigned.
-No required fringe benefits or remissions.	-Applicable UC employee fringe benefits and Fee Remission as appropriate based on eligibility.
-Student-mentor relationship; no employer-employee relationship.	-Employer-employee relationship, and salary amounts restricted by University salary scales.
-No grant and contract support unless the purpose of the award is to provide fellowship or scholarship.	-Can be paid from grant and contract funds, and other internal funding sources.

□ Graduate Student Researcher Appointments

NOTE: UCI Graduate Student Researcher appointments are covered by University academic personnel policies.

- **Graduate Student Researcher (GSR)**

Graduate student enrolled full time who performs research related to his or her degree program

- **Graduate Student Assistant Researcher (GSAR)**

GSAR performs research which is not related to the student's degree program

GSR and GSAR appointments have enrollment requirements, grade/GPA requirements, and students with these job titles perform research assigned by the PI.

❑ Graduate Student Support at UCI

- Fellowship awards may be in the form of payment of fees/tuition and/or nonresident supplemental tuition, and/or a stipend.
- Stipends are intended to financially support a graduate student formally admitted to graduate study in Doctoral or Master's program.
- UCI's Block Allocation Fellowship Support is for fellowships ONLY!

Block fellowship allocations cannot be used to support GSRs and/or GSARs performing research, and they cannot be used as sources of cost sharing for research grants.

NIH Grants Policy Statement

□ Stipend

- *A payment made to an individual under a fellowship or training grant in accordance with pre-established levels to provide for the individual's living expenses during the period of training. A stipend is not considered compensation for the services expected of an employee.*

□ Stipend Supplementation

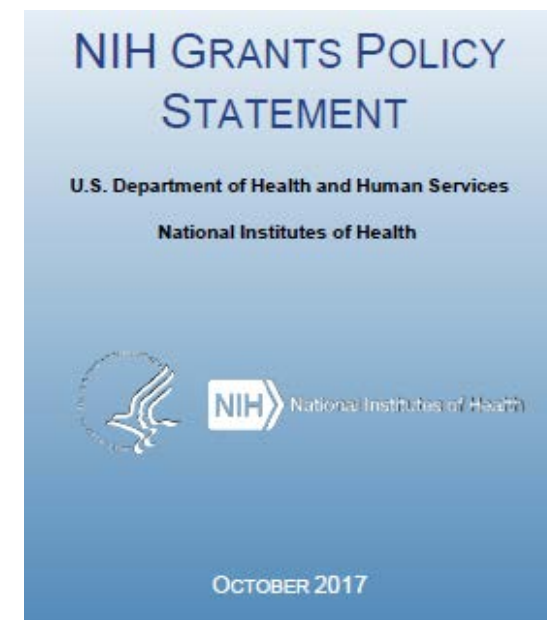
- *Stipends may be supplemented by an institution from non-Federal funds provided this supplementation is without any additional obligation for the fellow.These policies must be consistently applied to all individuals in a similar status regardless of the source of funds. Federal funds may not be used for stipend supplementation unless specifically authorized under the terms of the program from which funds are derived. **Under no circumstances may PHS funds be used for supplementation.***

NIH Grants Policy Statement



Stipends are not allowable under research grants even if they appear to benefit the research project.

<https://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>



Graduate Policies and Procedures at UCI

<https://www.grad.uci.edu/forms/academics/Graduate-Policies-and-Procedures.pdf>



Questions?

Agenda

- ERA Update
- Graduate Student Compensation and Cost Share
- ***C&G Accounting update***
- HRP Update
- Clinical Trials Team Update
- Federal update

C&G Accounting Update

Beata Najman & Kevin Chung

CGA Update

- CGA Website Update
- CGA Training and Staff Changes
- Notice of Project Expiration
- New C&G Reports

Notice of Project Expiration

- Automated notification to Principal Investigator, Fiscal Officer, and Account Supervisor
- Automated email from the corresponding C&G Accountant
- Sent 60 days before the Stop Date in the KFS Award Table
- Based on the last closed month's ledger balance
- Easy to print on one page
- Implementation at the end of September

NOTICE OF PROJECT EXPIRATION

Principal Investigator: **Joe Anteater**

Project Title: Ant Rich Diet and its Consequences

Sponsor: NATIONAL SCIENCE FOUNDATION

Sponsor Reference Fund Number: CMMI-11111111

UCI Fund Number: 12345

This is a notification that the referenced award has an end date listed as of: **09/30/2018**

As of Aug 27, 2018, Fiscal Period JULY 2018 , the general ledger activity for the award shows:

Budget: **\$900,000.00**

Expenses: **\$850,000.00**

Balance: **\$50,000.00**

The figures above represent costs posted to the ledger and do not include any pending transactions.

In Anticipation of This Project Ending

The Principal Investigator and Departmental Administrators should work on the following steps:

- *Ensure that all allocable expenditures are posted to the project in a timely manner*
- *Review the status of any outstanding liens, Purchase Orders (POs), and Blanket POs*
- *Review all expenditures to ensure compliance with terms and conditions of the award*
- *Redirect salaries and rechargeable services planned for the future research work not covered by this award*
- *If applicable, review any applicable cost sharing contribution, and complete the cost sharing report*
- *Inform Applied Innovation if inventions or patentable items were generated under this project*
- *Verify that subaward final expenses have been confirmed and recorded to the ledger*
- *Notify C&G Accounting about proper disposition of unexpended balance if applicable to the fixed price award*
- *Complete and submit final technical/performance reports, as required*

If This Award Is Not Expected to End on 09/30/2018

If this award is expected to be continued, renewed, or extended (with supplemental funds or a no cost time extension), additional steps that may include:

- *Progress report submission - failure to submit timely reports may delay funding or consideration of any requests for project extension*
- Submission to Sponsored Projects or Applied Innovation for the following prior approval requests:
 - *Carryforward of funds*
 - *No cost time extension*
 - *Supplemental funding*

For an up-to-date view of your Awards, take a look at the [Award Balance Overview \(ABO\)](#).

If you have questions, please don't hesitate to contact the appropriate C&G Accountant. [C&G Accounting Contacts by Fund Number](#).

Sincerely,
C&G Accounting



www.accounting.uci.edu/cg/

C&G Reports

- Suite of C&G Reports that should help with C&G Financial Management
- Proposed Multiple Views:
 - Current Overdrafts within the Organization
 - Awards Expiring Soon (90 days from today's date)
 - Outstanding Balances for recently expired awards and awards expiring soon
 - General Award Information to download
- Implementation before the end of October

Current Overdrafts Awards Expiring Soon Outstanding Balances Awards Information

UCIRVINE

DECISION SUPPORT

CGR - Current Overdrafts

Showing Fiscal Year 2019 - Period 02 data as of: 08/24/2018, 8pm

Run Date/Time: 08/27/2018 5:49:41 PM
Page #: 1 of ?
Run by: Kevin B Chung

Org or Org Rollup: Project Director 1: Calculate Encumbrances Yes
UC Fund Number: Project Director 2:

Chart	Sub Fund Type Code	Sub Fund Group Code	UC Fund Number	UC Fund Title	Primary Award Org Code	Primary Award Org Name	Project Director 1	Project Director 2	Award Begin Date	Award End Date	ITD Budget	ITD Actuals	Encumbrance	Variance	ITD Unallocated Budget
IR	001	000000	00000	STIMULUS FUND	000	UCIRVINE	0000000000	-	00000000	00000000	\$0.00	\$3,937.50	\$0.00	(\$3,937.50)	\$667,252.00
IR	001	000000	00000	STIMULUS FUND	000	UCIRVINE	0000000000	-	00000000	00000000	\$56,545.78	\$64,455.51	\$0.00	(\$7,909.73)	\$764,273.22
IR	001	000000	00000	STIMULUS FUND	000	UCIRVINE	0000000000	-	00000000	00000000	\$26,000.50	\$26,677.62	\$0.00	(\$677.12)	\$105,255.50
IR	001	000000	00000	STIMULUS FUND	000	UCIRVINE	0000000000	-	00000000	00000000	\$6,272.00	\$11,118.97	\$0.00	(\$4,846.97)	\$136,728.00
IR	001	000000	00000	STIMULUS FUND	000	UCIRVINE	0000000000	-	00000000	00000000	\$4,129.00	\$8,675.65	\$0.00	(\$4,546.65)	\$15,895.00
IR	001	000000	00000	STIMULUS FUND	000	UCIRVINE	0000000000	-	00000000	00000000	\$1,215.00	\$6,570.90	\$0.00	(\$5,355.90)	\$335,630.00
IR	001	000000	00000	STIMULUS FUND	000	UCIRVINE	0000000000	-	00000000	00000000	\$21,776.33	\$24,861.89	\$0.00	(\$3,085.56)	\$212,076.67

Questions?

Contact:

Beata Najman, Director, Extramural Funds Accounting

bnajman@uci.edu

(949) 824-0265

OR

Kevin Chung, Financial Management Support Manager

chungkb@uci.edu

(949) 824-4429

Agenda

- ERA Update
- Graduate Student Compensation and Cost Share
- C&G Accounting Update
- ***HRP Update***
- Clinical Trials Team Update
- Federal Update



	<u>Pre-2018 Common Rule</u>	<u>2018 Common Rule</u>
Scope	Non-Exempt Research Involving human subjects that is conducted or supported by HHS	
	<p>An institution with an FWA certifies to HHS that each grant application or proposal has been reviewed and approved by the IRB</p> <p>➤ <i>Congruence review: ensure the grant application (or proposal) is entirely consistent with any corresponding protocol submitted to the IRB</i></p> <ul style="list-style-type: none"> ▪ <i>examples of inconsistencies: target population, treatment arms, experimental dosages, collaborators, performance sites, scope of work, source materials, etc</i> 	<p>For research to which the 2018 Requirements apply, the IRB must review and approve such research (e.g., a research protocol) for certification; <u>however, the IRB no longer is required to review and approve the research grant application or proposal</u></p> <p>➤ <i>Congruence reviews will be the responsibility of the researcher (beginning <u>July 19, 2018</u>)</i></p>
<u>Certification</u>	<p><i>Certification means the official notification by the institution to the supporting Federal department or agency (in accordance with the requirements of this policy) that a research project or activity involving human subjects has been reviewed and approved by an IRB (in accordance with an approved assurance); research covered by 45 CFR 46.103 may not be initiated prior to receipt by HHS of the certification</i></p>	
References	<p><u>45 CFR 46.103(f)</u></p> <p>https://www.hhs.gov/ohrp/regulations-and-policy/guidance/irb-review-applications-for-hhs-support/index.html</p>	<p><u>45 CFR 46.103(d)</u></p> <p>https://www.hhs.gov/ohrp/regulations-and-policy/requests-for-comments/draft-guidance-elimination-of-irb-review-of-research-applications-and-proposals/index.html</p>

2015
[Revised Clinical Trial Definition](#)



2017
[GCP Training Requirement](#)



2017
[Dissemination of NIH-Funded Clinical Trial Information](#)

2018 GUIDE NOTICES

July 20, 2018
[NOT-OD-18-212](#)

Enforcement of NOT-OD-16-149
▪ [delayed through Sept 24, 2019](#)

August 10, 2018
[NOT-OD-18-217](#)

[Comments Submission Deadline:](#)
▪ [November 12, 2018](#)

August 27, 2018
[NOT-OD-18-167](#)

[Comments Submission Deadline:](#)
▪ [October 11, 2018](#)

SUMMARY

Delayed Enforcement and Short-Term Flexibilities for Some Requirements Affecting Prospective Basic Science Studies Involving Human Participants

- NIH is [delaying enforcement of registration and reporting policies](#) for [prospective basic science studies involving human participants](#) (under [NOT-OD-16-149](#)) [through September 24, 2019](#). The delayed enforcement of registration and reporting is [only applicable for prospective basic science studies that involve human participants](#).

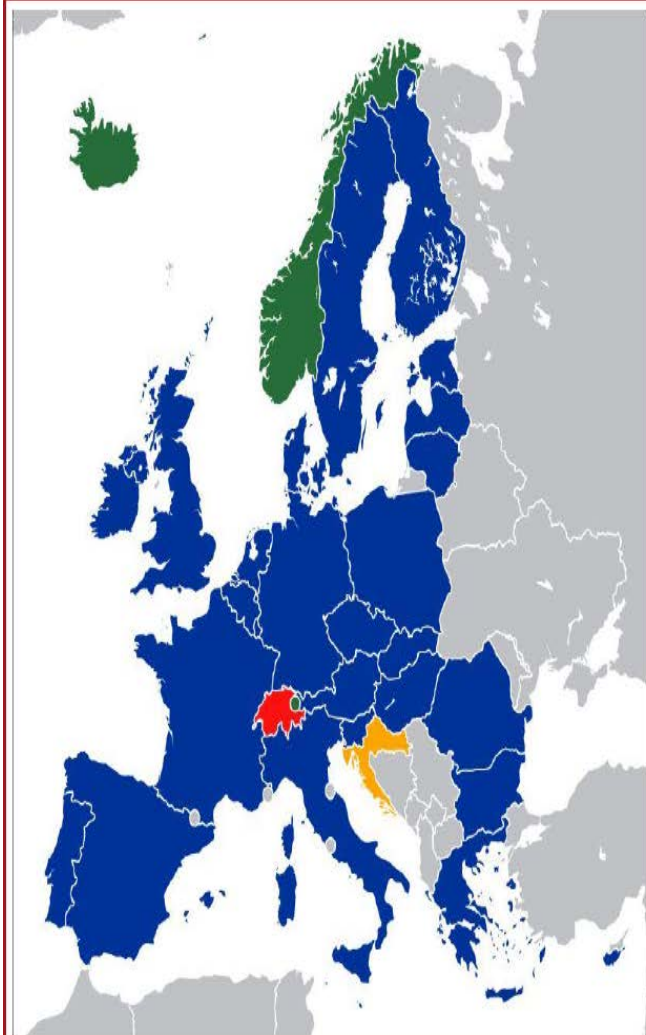
Request for Information (RFI): Registration and Results Reporting Standards for Prospective Basic Science Studies Involving Human Participants

- NIH is requesting for input from stakeholders throughout the scientific research community regarding how best to implement the [NIH Policy on the Dissemination of NIH-Funded Clinical Trial Information](#) for [prospective basic science studies involving human participants](#). NIH wants to learn more about studies that meet the [NIH definition of a clinical trial](#) but are not defined as [applicable clinical trials](#), and also meet the [definition of fundamental research](#).

NIH Request for Public Comment on Draft Protocol Template for Behavioral and Social Sciences Clinical Trials

- NIH is seeking comment on a Draft Behavioral and Social Clinical Trial Template. The template was created to guide investigators through the systematic development of a comprehensive clinical protocol and will be especially helpful to investigators who are less familiar with the information and the level of detail that is required in such a protocol. The template has also been tailored specifically for studies involving a social or behavioral intervention.

European Economic Area (EEA)



EEA Members

■ EEA Member

■ Provisional EEA Member

European Free Trade Association
("EFTA") Members

■ EFTA Member

■ EFTA Signatory,
But Has Not Ratified

Applicability

Organization is established in the EEA and acts as a data controller or processor

Organization offers goods or services to individuals in the EEA

Organization monitors the behavior of individuals in the EEA

Transfer of personal data from an EEA country, to the US (outside of the EEA)

Has a contractual relationship with research vendors or other third parties who are subject to the regulation

REFERENCES

[EU GDPR](#)

[Article 29 Working Party](#) (EU)

UCOP Guidance (forthcoming)

[UCI OIT – EU GDPR - Information Security and Privacy](#)

[UCI OIT – EU GDPR Resource and Guidance](#)

UCI HRP (draft) guidance document

[US DHHS SACHRP Recommendations](#)

[OHRP - Compilation of Guidance based on Country](#)

Processing of Personal Data

Personal Data: Any information relating to an identified or identifiable natural person who is in the EU, regardless of the individual's EU citizenship status

Processing of Data is any operation performed on personal data: collection, recording, storage, use, disclosure, etc

Impact on Human Subjects Research Activities:

- Requires a legal basis for processing data: 6 allowable basis
- Awards/contracts require additional language
- Consent form requires additional language
 - Transfer of personal data (EEA to US; US to EEA)
 - Data Subject Rights (a list of 7)
- Breach of confidentiality: report within 72 hrs
- **Fines for noncompliance with the GDPR**

Questions?

Contact:

Laverne Estanol

Assistant Director, Human Research Protections

lestanol@uci.edu

(949) 824-4704

Agenda

- ERA Update
- Graduate Student Compensation and Cost Share
- C&G Accounting update
- HRP Update
- ***Clinical Trials Team Update***
- Federal Update

Industry Clinical Trials Update

August 29, 2018

Industry Clinical Trials Team

Tam K. Tran

Assistant Director

tamkt@uci.edu

949-824-7813

Shabana Durrani

Principal Contract Officer

durrani@uci.edu

949-824-7697

- All CDAs, non-master CTAs
except for Depts. of
Neurology, Dermatology,
Pediatrics, IMIND

Heather Kubinec

Principal Contract Officer

hkubinec@uci.edu

949-824-9816

- All amendments, all master
CTAs, all CTAs for Depts. of
Neurology, Dermatology,
Pediatrics, IMIND

<http://research.uci.edu/sponsored-projects/clinical-trials/index.html>

Agenda

- Increased F&A rate
- DocuSign
- Clinical Trial Determination Tool

Increased F&A Rate

- Effective July 1st, 2018, the Facilities and Administrative rate is 32% of Total Direct Costs (TDC)
- Applied to investigator initiated and sponsor initiated trials funded by **industry**

DocuSign

- System for routing documents (e.g., CDAs, contracts, modifications) to secure electronic signatures
- Investigators do not need to have an account
- Final documents will be sent directly to Investigator with a copy to study team
- Improve turnaround time for signature process, decrease study activation time
- Target implementation in September 2018



[Products](#) [Solutions](#) [Pricing](#) [Support](#) [Resources](#)

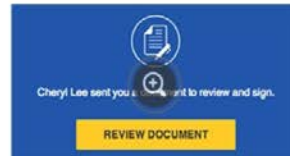


[FREE TRIAL](#)

[CONTACT SALES](#)



Quickly access and sign documents that require your signature



STEP 1

Click the link in email

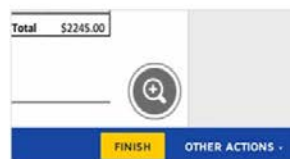
With one click, you can access the document and start the document signing process on virtually any internet-enabled device.



STEP 2

Follow the DocuSign tabs

Tabs and simple instructions guide you through the signing process. Your electronic signatures are secure, legally binding, and widely accepted for most business transactions around the world.

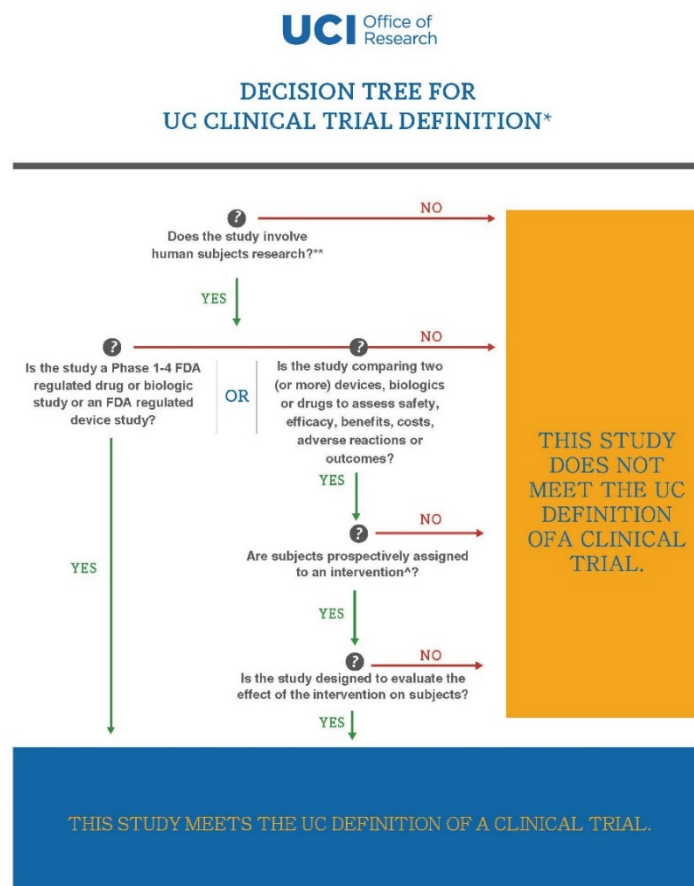


STEP 3

Finish, and you're done

Once you're done signing, click Finish. That's it!

Clinical Trial Determination Tool



*For the purpose of determining applicability of the UC clinical trial F&A rate and applicable contract terms.

**See IRB determination of human subjects research form at: <https://tinyurl.com/HISdetermination>.

^An intervention, in the context of the UC definition of a clinical trial, is the manipulation of a subject via the controlled testing of a drug, device, treatment or diagnostic under an approved protocol. A blood draw, on its own, may not be sufficient to constitute an intervention.

Please contact Tam Tran at tamkt@uci.edu or Angie Karchmer at angie.karchmer@uci.edu if you have any questions.

Research Administrators United!

- Building relationships and creating partnerships with schools/departments
- Monthly brown bag sessions on C&G topics, morning coffee talks, invite us to your department staff meeting, etc.
- Convene working group to include SPA and department representatives
- We welcome your ideas, feedback, and thoughts!
- Contact us:
 - Tam Tran: tamkt@uci.edu or x47813
 - Shelley Scallan: sscallan@uci.edu or x42644
 - Nadia Wong: nadiaw@uci.edu or x40012

Our 1st Hiking Trip!!



Speaking of feedback...



A screenshot of the UCI Office of Research website, specifically the Sponsored Projects section. The header features the UCI logo and "Office of Research" text. Navigation links include "About the Office", "Sponsored Projects", "Research Protections", "Research Funding", and "Integrity in Research". A search bar is present. The main content area has a blue banner for "Sponsored Projects". Below this, there's a link to "Click Here for UCI's OMB Uniform Guidance Resource Page". A paragraph describes the role of Sponsored Projects staff. A "News & Announcements" section highlights an "Open Position in SPA: Contract and Grant Analyst (Job# 2018-1007)". A sidebar on the right lists "Useful Links" such as "Digital Scholarship Services", "Identify grants and funding", "Assistance with data management plan requirements", and "Federal Audit Clearinghouse".

<https://www.research.uci.edu/sponsored-projects/index.html>

Email Sandra directly at sandrams@uci.edu

Agenda

- ERA Update
- Graduate Student Compensation and Cost Share
- C&G Accounting Update
- HRP Update
- Clinical Trials Team Update
- ***Federal Update***



The only way to make sense out of change is to plunge into it, move with it, and join the dance.
–Alan Watts

Erika Blossom
Supervising Principal Contract and Grant Officer
Erika.Blossom@uci.edu
(949) 824-2237

NSF Reminder

- **NSF Research.gov Proposal Preparation Site Available**
 - NSF has launched Research.gov proposal preparation functionality to the research community to collect preliminary feedback and to provide the community an opportunity to acclimate to the new technology.

NIH

- [NOT-OD-18-172](#) Reminder of NIHs Policy Regarding a [Change in Program Directors/Principal Investigators Status](#)
- [NOT-OD-18-175](#) Ruth L. Kirschstein National Research Service Award (NRSA) Stipends, Tuition/Fees and Other Budgetary Levels Effective for Fiscal Year 2018
 - This notices establishes stipend levels for NRSA awards for fiscal year 2018. Training related expenses and the institutional allowance for post-doctoral trainees and fellows have been increased. Training related expenses and institutional allowance for pre-doctoral trainees and fellows remains unchanged. All FY 18 awards will be revised to adjust funding to the FY 18 levels. Appointments to institutional training grants that have already been awarded must be amended in xTrain once the training grant award grant award has been adjusted by NIH. The maximum amount that NIH will award to support the compensation package for a graduate student research assistant remains at the zero level postdoctoral stipend. Please review the notice for additional information.
- [NOT-OD-18-202](#) NIH Synchronizes Institutional Delegations and Now Allows Decimals in Effort Reporting Field in Research Performance Progress Reports (RPPR)
 - The purpose of this notice is to inform grantees of two recent enhancements within eRA Commons to streamline and improve the Research Performance Progress Report (RPPR) process. First, institutional delegations for Interim-RPPRs (I-RPPR) and Final-RPPRs (F-RPPR) have been modified to align with the delegations that institutional users have specified for annual RPPRs. Second, data fields for effort reporting have been modified to enable use of decimals rather than requiring entry of a whole number. Please review the notice for additional information regarding these enhancements in eRA Commons.

NIH

- [NOT-HS-18-006](#) FY2018- AHRQ to begin allowing Facilities and Administrative (F&A) costs of 8% MTDC for consortia located at foreign institutions
 - The Agency for Healthcare Research and Quality (AHRQ) Funding Opportunity Announcements (FOAs) do not allow foreign institutions to submit grant applications but do allow foreign institutions to participate in projects as members of consortia or as subcontractors only. Beginning October 1, 2017, AHRQ will allow, but not require, applicants to request facilities and administrative (F&A) costs (also known as indirect costs) for consortia located at foreign and international organizations at a fixed rate of 8 percent of modified total direct costs (MTDC), exclusive of tuition and related fees, direct expenditures for equipment, and subawards and/or contracts in excess of \$25,000. Please see the full notice for additional detailed information.

NIH

- Clarification on the distinction between fixed amount subaward and fixed rate subaward
 - Fixed rate subaward
 - commonly used by Clinical Trial Coordinating Centers to distribute capitation funds
 - Cost per patient in a clinical trial is known, the total amount of the award is unknown (since total award amount will be based on each patient seen)
 - Prior approval **not** required (unless other conditions apply in [NIHGPS Chapter 8.1.1.4](#))
 - Fixed amount subaward
 - Total value negotiated upfront when the negotiated unit price and the total number of units are known
 - Defined in [45 CFR Part 75.201](#)
 - Prior Approval **is** required

NIH

- Contracts and Grants Accounting and Sponsored Projects (SPA) in collaboration with Academic Personnel have updated the DHHS Salary Cap Guidance on the SPA website. The revised webpage can be found here:
<https://research.uci.edu/sponsored-projects/contracts-grants-admin/proposal-prep/nih-salary-cap.html>

QUESTIONS?

See you next time...

Winter QRAM

- Date: Wednesday, December 5, 2018
- Time: 1:30-3:30
- Location: UCI Student Center – Moss Cove AB