



Research-Related Agreements-Responsible Offices

Responsibility for Research-Related Agreements at UC Irvine

Prepared by the Office of Research

Responsibility for handling different types of agreements for research or research-related activities is distributed across several UCI administrative offices. This matrix is intended to help clarify which office has primary responsibility for negotiating and executing research-related agreements. The primary office may consult with other offices as appropriate.

Type of Agreement	Description	Primary Responsibility
<u>Grant</u>	A financial assistance <u>award</u> typically issued by governmental and non-profit entities to support a research project or program, research training program (e.g., fellowships or training grants for individuals or groups of trainees) or public service project or program related to research or training.	SPA
Cooperative Agreement	A type of grant typically issued by governmental and non-profit entities when the sponsor anticipates that its programmatic personnel will have substantial involvement in project activities and oversight.	SPA
Clinical Trial Agreement	A contract that contains detailed terms governing the performance, funding and reporting of controlled, clinical testing of new drugs, devices, treatments or diagnostics, or comparisons of approved drugs, devices or diagnostics in <u>human subjects</u> to assess their safety, efficacy, benefits, costs, adverse reactions and/or outcomes under an industry-developed or an investigator-developed protocol.	SPA

SPA

Research Agreement A contract that contains detailed terms governing the performance, funding and reporting of a research project or program.

- Except for Industry Sponsored Research Agreements

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Industry Sponsored Research Agreement A contract that contains detailed terms governing the performance, funding and reporting of a research project or program funded by a for-profit entity.

- Except for clinical trial agreements

SPA

Incoming Subaward/Subcontract A contract under another entity's prime award that transfers a substantive portion of a scope of work and associated funding to UCI.

- Incoming subawards/subcontracts from all entities except for-profit (industry) entities; and
- All incoming subawards/subcontracts under prime Clinical Trial Agreements

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- All incoming subawards/subcontracts (directly or indirectly) from for-profit entities except for subawards/subcontracts under clinical trials agreements

Outgoing Subaward/Subcontract A contract that transfers a substantive portion of a scope of work and associated funding under a UCI prime award to another entity.

SPA

License/Option Agreement to UC Intellectual Property A contract that conveys certain use rights related to UCI intellectual property (e.g., patents, copyrights or biological materials) to another entity, usually a for-profit company.

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Material Transfer Agreement	A contract (separate from a grant, cooperative agreement, research agreement, industry research agreement or clinical trial agreement) that protects the intellectual and other property rights of an entity providing a research material while permitting the receiving entity to use the material in its research.	UCIII - OTA	<ul style="list-style-type: none"> • Incoming and outgoing
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Equipment Loan Agreement	A contract that temporarily transfers equipment, instruments or other similar items of tangible personal property to UCI for use in research or for testing and evaluation or instructional purposes in the course of research.	SPA	<ul style="list-style-type: none"> • For loaned equipment to be used in sponsored research other than an industry sponsored research agreement
		UCIII - OTA	<ul style="list-style-type: none"> • For loaned equipment to be used under an industry sponsored research agreement, or incoming subawards from a for-profit entity or in non-sponsored research

Confidentiality, Non-Disclosure or Secrecy Agreement	A contract to protect an entity's proprietary information when it is shared with another entity.	UCIII - OTA	<ul style="list-style-type: none"> • Related to industry sponsored research agreements, incoming and outgoing subawards with a for-profit entity and UCI intellectual property/inventions
		SPA	<ul style="list-style-type: none"> • For all other research projects, including clinical trials agreements

Visiting Scientist Agreement	A contract that enables a non-UC visitor to engage in collaborative activities with a UCI research team/lab when the visitor has a continued obligation to assign intellectual property rights to their employer.	UCIII – OTA (Lead) & SPA jointly
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SPA

Research Use/Access
Agreement
(aka, [Off-site
Research Agreement](#))

An agreement to permit UCI's use of or access to a non-UCI location or facility for the purpose of conducting UCI research.

- For all entities other than for-profits

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- For industry (for-profit) entities

SPA

Data Use Agreement

A data use agreement (DUA) authorizes the transfer of a data set for specified limited purposes (e.g., conducting human research). Data containing Protected Health Information may be subject to a HIPAA business associate agreement (see below).

- For all entities other than for-profits (industry)

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- For industry (for-profit) entities

SPA

HIPAA Business
Associate Agreement

An agreement covering the business-related disclosure of protected health information.

- For all entities other than for-profits (industry)

UCIII - OTA

- For industry (for-profit) entities

Purchasing and Risk Services

- For all non-research activities

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